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Asymptotic estimates for Klein-Gordon equation on α -modulation space

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Abstract: Recently, asymptotic estimates for the unimodular Fourier multipliers $e^{i\mu(D)}$ have been studied for the function α -modulation space. In this paper, using the almost orthogonality of projections and some techniques on oscillating integrals, we obtain asymptotic estimates for the unimodular Fourier multiplier $e^{it(I-\Delta)^{\frac{\beta}{2}}}$ on the α -modulation space. For an application, we give the asymptotic estimate of the solution for the Klein-Gordon equation with initial data in a α -modulation space. We also obtain a quantitative form about the solution to the nonlinear Klein-Gordon equation.

Keywords: Unimodular multipliers, α -modulation spaces, Klein-gordon equation.

MSC: 42B15, 42B35, 42C15.

1. Introduction

Suppose $\mathcal{S}(\mathbb{R}^n)$ and $\mathcal{S}'(\mathbb{R}^n)$ be the Schwartz space of all rapidly decreasing smooth functions and tempered distributions, respectively, and the Fourier transform $\mathcal{F}(f) = \hat{f}$ and the inverse Fourier transform $\mathcal{F}^{-1}(f) = \check{f}$ of $f \in \mathcal{S}(\mathbb{R}^n)$ is

$$\hat{f}(\xi) = \int_{\mathbb{R}^n} f(x)e^{-ix \cdot \xi} dx, \text{ and } \check{f}(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} f(\xi)e^{ix \cdot \xi} d\xi.$$

We define the Fourier multiplier is a linear operator H_μ defined on the set of test functions f on \mathbb{R}^n is defined by

$$H_\mu f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \mu(\xi) \hat{f}(\xi) e^{ix \cdot \xi} d\xi.$$

The function μ is called the *symbol* or *multiplier* of H_μ . Note that the Fourier multiplier operator H_μ can be extended in the distribution sense with $\mu \in \mathcal{S}'(\mathbb{R}^n)$ by $H_\mu f = \mathcal{F}^{-1}(\mu \hat{f}) = (\mathcal{F}^{-1}\mu) * f$, for all $f \in \mathcal{S}(\mathbb{R}^n)$.

A fundamental question in the study of Fourier multipliers is to relate the boundedness properties of H_μ on certain function spaces to the properties of the symbol μ .

In this paper we will primarily focus on a particular Fourier multiplier, the *unimodular Fourier multipliers*, defined by the symbol of the type $\mu(\xi) = e^{i\lambda(\xi)}$, for real-valued functions λ . They arise when one solves the (half) Klein-Gordon equation

$$\begin{cases} i\partial_t u + (I - \Delta)^{\frac{\beta}{2}} u = 0, & \text{when } (t, x) \in \mathbb{R}_+ \times \mathbb{R}^n \\ u(0, x) = u_0(x), & x \in \mathbb{R}^n, \end{cases}$$

where one has the formula solution $u(t, x) = \left(e^{it(I-\Delta)^{\frac{\beta}{2}}} u_0 \right) (x)$. Here $\Delta = \Delta_x$ is the Laplacian and $e^{it(I-\Delta)^{\frac{\beta}{2}}}$ is the unimodular Fourier multiplier with the symbol $e^{it(1+|\xi|^2)^{\frac{\beta}{2}}}$. The particular interest in studying this

Klein-Gordon type equation is by understanding the boundedness properties of the unimodular Fourier multiplier $e^{it(I-\Delta)^{\frac{\beta}{2}}}$ will provide insight to the behavior of the solution to the Klein-Gordon equation

$$\begin{cases} \partial_{tt}u + Iu - \Delta u = 0, & \text{when } (t, x) \in \mathbb{R}_+ \times \mathbb{R}^n, \\ u(0, x) = u_0(x), & x \in \mathbb{R}^n, \\ \partial_t u(0, x) = u_1(x), & x \in \mathbb{R}^n. \end{cases}$$

Further, to understand the behavior of the solution to the Klein-Gordon equation, we need to understand the behavior of the Fourier multiplier $\Theta_K(t)$ with symbol $\frac{\sin(t(1+|\xi|^2))^{\frac{1}{2}}}{(1+|\xi|^2)^{\frac{1}{2}}}$.

Unimodular Fourier multipliers generally do not preserve any Lebesgue space L^p , except for $p = 2$. Thus the L^p -spaces are not the appropriate function spaces for the study of unimodular Fourier multipliers. Thus we will focus on the function space α -modulation space, which is a generalization of the modulation space and Besov space.

[1] used the almost orthogonality of projections and some techniques on oscillating integrals to find bounded results for the unimodular Fourier multiplier $e^{it|\Delta|^{\frac{\beta}{2}}}$ on the modulation space. See [2] for additional information on recent developments on the modulation space. Recently, [3] used these methods to acquire similar bounded results for the unimodular Fourier multiplier $e^{it|\Delta|^{\frac{\beta}{2}}}$ on the α -modulation space showing that if $\beta = 1, t > 1$ and $1 \leq p, q, \leq \infty$, then

$$\left\| e^{it|\Delta|^{\frac{\beta}{2}}} f \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|f\|_{M_{p,q}^{s-\gamma,\alpha}(\mathbb{R}^n)} + t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|f\|_{M_{p,q}^{s+s_0,\alpha}(\mathbb{R}^n)},$$

where $\gamma \geq 0$ and s_0 is a constant depended on n and p , and if $\frac{1}{2} < \beta$ with $\beta \neq 1, t > 1$ and $1 \leq p, q \leq \infty$

$$\left\| e^{it|\Delta|^{\frac{\beta}{2}}} f \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|f\|_{M_{p,q}^{s-\gamma,\alpha}(\mathbb{R}^n)} + t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|f\|_{M_{p,q}^{s+s_1,\alpha}(\mathbb{R}^n)},$$

where $\gamma \geq 0$ and s_1 is a constant depended on β, α, n , and p .

In this paper, we use the same almost orthogonality of projections and techniques on oscillating integrals to find our results. Our main results can be stated as follows.

Theorem 1. For $\beta \geq 1, 1 \leq p, q \leq \infty$, and $t > 1$, then the following estimate holds:

$$\left\| e^{it(I-\Delta)^{\frac{\beta}{2}}} f \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|f\|_{M_{p,q}^{s-\gamma,\alpha}(\mathbb{R}^n)} + t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|f\|_{M_{p,q}^{s+v_1(\beta,\alpha),\alpha}(\mathbb{R}^n)},$$

where $\gamma > 0$ and $v_1(\beta, \alpha)$ is defined as

$$v_1(\beta, \alpha) = n(\beta - 2 + 2\alpha) \left| \frac{1}{p} - \frac{1}{2} \right|. \tag{1}$$

Theorem 2. Let $1 \leq p, q \leq \infty$, and $t \geq 1$ then the following estimate holds:

$$\|\Theta_K(t)g\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq t^{n\left|\frac{1}{p}-\frac{1}{2}\right|+1} \|g\|_{M_{p,q}^{s-\gamma,\alpha}(\mathbb{R}^n)} + t^{n\left|\frac{1}{p}-\frac{1}{2}\right|} \|g\|_{M_{p,q}^{s+v_2(\alpha),\alpha}(\mathbb{R}^n)},$$

where $\gamma > 0$ is any positive number, and $v_2(\alpha)$ is defined by

$$v_2(\alpha) = (n\alpha - 2) \left| \frac{1}{p} - \frac{1}{2} \right|. \tag{2}$$

As an application of our theorems, we prove that the following Nonhomogeneous Klein-Gordon equation with initial data in an α -modulation space has a solution.

Theorem 3. Consider the Nonlinear Klein-Gordon equation

$$\begin{cases} \partial_{tt}u(t, x) + u(t, x) - \Delta u(t, x) + F(u(t, x)) = 0, & \text{for } (t, x) \in \mathbb{R}_+ \times \mathbb{R}^n, \\ u(0, x) = f_u(x), & \text{for } x \in \mathbb{R}^n, \\ \partial_t u(0, x) = g_u(x), & \text{for } x \in \mathbb{R}^n, \end{cases}$$

where $F = |u|^{2k}u$. Suppose $1 \leq p, q \leq \infty, T \geq 1$, and $\alpha \leq \min\{\frac{1}{2}, \frac{2}{n}\}$. Suppose $s > s_0$, where s_0 is picked appropriately to make the α -modulation space a multiplication algebra [4], k be a positive integer and there exists a constant c_k that is depended on k only such that

$$\|f_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \frac{c_k}{T^{n|\frac{1}{p}-\frac{1}{2}|(1+\frac{1}{2k})} T^{\frac{1}{2k}}},$$

and

$$\|g_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \frac{c_k}{T^{n|\frac{1}{p}-\frac{1}{2}|(1+\frac{1}{2k})} T^{1+\frac{1}{2k}}}.$$

Then the nonlinear Klein-Gordon equation has a unique solution u in $C([0, T]M_{p,q}^{s,\alpha}(\mathbb{R}^n))$.

2. Preliminaries

Now we recall the definition of the α -modulation spaces. Let $0 \leq \alpha < 1$, and $c < 1$ and $C > 1$ be two positive numbers which relate to the space dimension n . Suppose $\{\eta_k^\alpha\}_{k \in \mathbb{Z}^n}$ be a sequence of Schwartz functions that satisfies the following:

$$\begin{cases} |\eta_k^\alpha(\xi)| \geq 1, \text{ if } |\xi - \langle k \rangle^{\frac{\alpha}{1-\alpha}} k| < c \langle k \rangle^{\frac{\alpha}{1-\alpha}}, \\ \text{supp } \eta_k^\alpha \subset \left\{ \xi \in \mathbb{R}^n : |\xi - \langle k \rangle^{\frac{\alpha}{1-\alpha}} k| < C \langle k \rangle^{\frac{\alpha}{1-\alpha}} \right\}, \\ \sum_{k \in \mathbb{Z}^n} \eta_k^\alpha(\xi) \equiv 1, \text{ for all } \xi \in \mathbb{R}^n, \\ \langle k \rangle^{\frac{|\delta|}{1-\alpha}} |D^\delta \eta_k^\alpha(\xi)| \leq 1, \text{ for all } \xi \in \mathbb{R}^n \text{ and all multi-index } \delta, \end{cases} \tag{3}$$

where $\langle k \rangle = (1 + |k|^2)^{\frac{1}{2}}$. The standard construct for a function that satisfies conditions (3) is to let ρ be a smooth radial bump function supported on the open ball of radius 2 centered at the origin that satisfies $\rho(\xi) = 1$ when $|\xi| < 1$ and $\rho(\xi) = 0$ when $|\xi| \geq 2$. For any $k \in \mathbb{Z}^n$ define ρ_k^α by

$$\rho_k^\alpha(\xi) = \rho\left(\frac{\xi - \langle k \rangle^{\frac{\alpha}{1-\alpha}} k}{C \langle k \rangle^{\frac{\alpha}{1-\alpha}}}\right).$$

Now define η_k^α by

$$\eta_k^\alpha(\xi) = \rho(\xi) \left(\sum_{l \in \mathbb{Z}^n} \rho_l^\alpha(\xi) \right)^{-1}.$$

This η_k^α will satisfy conditions (3).

For $\{\eta_k^\alpha\}_{k=0}^\infty$ be a sequence of functions that satisfies conditions (3). Define \square_k^α by

$$\square_k^\alpha = \mathcal{F}^{-1} \eta_k^\alpha \mathcal{F}.$$

For $0 < p, q \leq \infty, s \in \mathbb{R}$, and $\alpha \in [0, 1)$ define the norm $\|\cdot\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}$ by

$$\|f\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} = \left(\sum_{k \in \mathbb{Z}^n} \langle k \rangle^{\frac{sq}{1-\alpha}} \|\square_k^\alpha f\|_{L^p(\mathbb{R}^n)}^q \right)^{\frac{1}{q}}.$$

We now define the α -modulation space $M_{p,q}^{s,\alpha}(\mathbb{R}^n)$ as the set of all $f \in \mathcal{S}'$ such that $\|f\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} < \infty$. See [5-7] for a way to define the α -modulation in a continuous way and the various properties. See [4,6,8,9] for additional properties of the α -modulation space, and its relation to the modulation space and the Besov space.

One property to note is the α -modulation space is multiplication algebra with the appropriate conditions on p and q [4]. This property will be used later.

Finally, to prove the main theorems, we need to establish the following lemma.

Lemma 1. . Let $t \geq 1$ and \square_k^α be defined as above. Suppose there exists an $N > 0$ such that

$$\left\| \square_k^\alpha e^{it(I-\Delta)^{\frac{\beta}{2}}} f \right\|_{L^1} \preceq t^{b_1} \|f\|_{L^1}, \tag{4}$$

if $|k| < N$ and

$$\left\| \square_k^\alpha e^{it(I-\Delta)^{\frac{\beta}{2}}} f \right\|_{L^1} \preceq t^{b_2} \langle k \rangle^d \|f\|_{L^1}, \tag{5}$$

if $|k| \geq N$, where $b_1 \geq b_2 \geq 0$ and d is a real number, then

$$\left\| e^{it(I-\Delta)^{\frac{\beta}{2}}} f \right\|_{M_{p,q}^{\beta,\alpha}(\mathbb{R}^n)} \preceq t^{2b_1 \left| \frac{1}{p} - \frac{1}{2} \right|} \|f\|_{M_{p,q}^{\beta-\gamma,\alpha}(\mathbb{R}^n)} + t^{2b_2 \left| \frac{1}{p} - \frac{1}{2} \right|} \|f\|_{M_{p,q}^{\beta+\nu,\alpha}(\mathbb{R}^n)},$$

where $\gamma \geq 0$ and β is defined as

$$\nu = 2d(1 - \alpha) \left| \frac{1}{p} - \frac{1}{2} \right|.$$

Proof. Follows from the same argument as [1] and [3]. \square

3. Proof of Theorem 1

In view of lemma 1 we need the following two proposition to proof theorem 1.

Proposition 1. For $\beta > 1$ and $|k| = 0$, we have the following estimate:

$$\left\| \mathcal{F}^{-1} \left(\eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} \right) \right\|_{L^1} \preceq t^{\frac{n}{2}}.$$

Proof. Let $L = \frac{n+1}{2}$ if n is odd and $L = \frac{n+2}{2}$ if n is even. First note that

$$\left\| \mathcal{F}^{-1} \left(\eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} \right) \right\|_{L^1} \preceq \int_{|x| \leq t} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx + \int_{|x| > t} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx.$$

For the first integral by Schwartz's inequality and Plancherel's Theorem we have

$$\int_{|x| \leq t} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx \preceq \left(\int_{|x| \leq t} dx \right)^{\frac{1}{2}} \left(\int_{\mathbb{R}^n} \left(\eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} \right)^2 d\xi \right)^{\frac{1}{2}} \preceq t^{\frac{n}{2}} \left\| \eta_0^\alpha(\xi) \right\|_{L^2} \preceq t^{\frac{n}{2}}.$$

For the second integral define $E_t = \{x \in \mathbb{R}^n : |x| > t\}$. For $i, j \in \{1, 2, \dots, n\}$ define $E_{t,i} = \{x \in E_t : |x_i| \geq |x_j| \text{ for all } j \neq i\}$.

Now by integration-by-parts and a calculation

$$\begin{aligned} \int_{|x| > t} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx &\preceq \sum_{i=1}^n \int_{E_{t,i}} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx \\ &\preceq \sum_{i=1}^n \int_{E_{t,i}} \frac{1}{|x|^L} \left| \int_{\mathbb{R}^n} \partial_{\xi_i}^L \left(\eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} \right) e^{ix\xi} d\xi \right| dx \\ &\preceq t^L \int_{|x| \leq t} \frac{1}{|x|^L} \left| \int_{\mathbb{R}^n} \sum_{\delta=1}^L \partial_{\xi_i}^{L-\delta} \eta_0^\alpha(\xi) |\xi|^{\delta\beta-\delta} e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx \\ &\preceq t^L \int_{|x| \leq t} \frac{1}{|x|^L} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) |\xi|^{L\beta-L} e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx. \end{aligned}$$

In either case of n being odd or even, it follows that

$$2L(1 - \beta) = (n + 1)(1 - \beta) < \frac{n + 1}{2} < \frac{n}{2} + 1.$$

Thus by Schwartz's inequality and noting that η_0^α has compact support it follows that

$$t^L \int_{|x| \leq t} \frac{1}{|x|^L} \left| \int_{\mathbb{R}^n} \eta_0^\alpha(\xi) |\xi|^{L\beta-L} e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} e^{ix\xi} d\xi \right| dx \leq t^L \left(\int_{|x|>t} \frac{dx}{|x|^{2L}} \right)^{\frac{1}{2}} \left(\int_{\mathbb{R}^n} |\eta_0^\alpha(\xi)|^2 |\xi|^{2L(\beta-1)} d\xi \right)^{\frac{1}{2}} \leq t^{\frac{n}{2}}.$$

This completes the proof. \square

Proposition 2. For $|k| \neq 0$ and $t > 1$. If $\beta \geq 1$, then we have the following estimate:

$$\left\| \mathcal{F}^{-1} \left(\eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} \right) \right\|_{L^1} \leq t^{\frac{n}{2}} \langle k \rangle^{\frac{n(\beta-2+2\alpha)}{2(1-\alpha)}}.$$

Proof. Suppose $|k| \neq 0$. First making the substitutions of $\xi = \langle k \rangle^{\frac{\alpha}{1-\alpha}} (\xi' + k)$ followed by $x = \frac{x'}{\langle k \rangle^{\frac{\alpha}{1-\alpha}}}$ to get

$$\begin{aligned} \left\| \mathcal{F}^{-1} \left(\eta_0^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} \right) \right\|_{L^1} &= \left\| \mathcal{F}^{-1} \left(\eta_k^\alpha(\xi) e^{it(1+|\xi|^2)^{\frac{\beta}{2}}} \right) \right\|_{L^1} \\ &= \int_{\mathbb{R}^n} \left| \int_{\mathbb{R}^n} \eta_k^\alpha \left(\langle k \rangle^{\frac{\alpha}{1-\alpha}} (\xi + k) \right) e^{it(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}} |\xi+k|^2)^{\frac{\beta}{2}}} e^{ix(\xi+k)} d\xi \right| dx \\ &= \int_{\mathbb{R}^n} \left| \int_{\mathbb{R}^n} \eta_k^\alpha \left(\langle k \rangle^{\frac{\alpha}{1-\alpha}} (\xi + k) \right) e^{i \left(t(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}} |\xi+k|^2)^{\frac{\beta}{2}} + x\xi \right)} d\xi \right| dx. \end{aligned}$$

Define Φ as

$$\begin{aligned} \Phi &= t(1 + \langle k \rangle^{\frac{2\alpha}{1-\alpha}} |\xi + k|^2)^{\frac{\beta}{2}} + x\xi \\ &= \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} t \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta}{2}} + x\xi. \end{aligned}$$

Then $\frac{\partial \Phi}{\partial \xi_i} = \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi_i + k_i) \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-2}{2}} + x_i$, and

$$\frac{\partial^2 \Phi}{\partial \xi_i \partial \xi_j} = \begin{cases} \beta(\beta - 2)t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi_i + k_i)(\xi_j + k_j) \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-4}{2}}, & \text{if } i \neq j, \\ \beta(\beta - 2)t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi_i + k_i)^2 \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-4}{2}} + \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-2}{2}}, & \text{if } i = j. \end{cases}$$

Also note $\frac{\partial \Phi}{\partial \xi_i} = 0$ when

$$x_i = -\beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi_i + k_i) \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-2}{2}},$$

or equivalently

$$x = -\beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi + k) \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-2}{2}}.$$

Now for the case of $n = 2$ we have

$$\begin{aligned} \left| \det(D_{\xi_i} D_{\xi_j} \Phi)_{i,j=1}^2 \right| &= \left| \left(\beta(\beta - 2)t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi_1 + k_1)^2 \left(\langle k \rangle^{-\frac{2\beta}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-4}{2}} + \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-2}{2}} \right) \right. \\ &\quad \times \left(\beta(\beta - 2)t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\xi_2 + k_2)^2 \left(\langle k \rangle^{-\frac{2\beta}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-4}{2}} + \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{\frac{\beta-2}{2}} \right) \\ &\quad \left. - \beta^2(\beta - 2)^2 t^2 \langle k \rangle^{\frac{2\beta\alpha}{1-\alpha}} (\xi_1 + k_1)^2 (\xi_2 - k_2)^2 \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} - |\xi + k|^2 \right)^{\beta-4} \right| \\ &= \beta^2 t^2 \langle k \rangle^{\frac{2\beta\alpha}{1-\alpha}} \left| \langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right|^{\frac{2\beta-4}{2}} \times \left((\beta - 2) \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{-1} |\xi + k|^2 + 1 \right). \end{aligned}$$

Then $\left| \det(D_{\xi_i} D_{\xi_j} \Phi)_{i,j=1}^2 \right| = 0$ only if $(\beta - 2) \left(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right)^{-1} |\xi + k|^2 + 1 = 0$, which only happens when $\beta = 1 - \langle k \rangle^{-\frac{2\alpha}{1-\alpha}} |\xi + k|^{-2} < 1$.

Thus when $\beta \geq 1$ and when $k \neq 0$, $\left| \det(D_{\xi_i} D_{\xi_j} \Phi)_{i,j=1}^2 \right| \neq 0$. Also note that when $k \neq 0$

$$\begin{aligned} \left| \det(D_{\xi_i} D_{\xi_j} \Phi)_{i,j=1}^2 \right| &\sim \beta^2 t^2 \langle k \rangle^{\frac{2\beta\alpha}{1-\alpha}} |\xi + k|^{2\beta-4} \left(\alpha - 1 + \frac{1}{1 + \langle k \rangle^{\frac{2\alpha}{1-\alpha}} |\xi + k|^2} \right) \\ &\geq \left(\beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |\xi + k|^{\beta-2} \right)^2 \left(\alpha - 1 + \frac{1}{1 + \langle k \rangle^{\frac{2\alpha}{1-\alpha}} |\xi + k|^2} \right) \\ &\geq \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2}. \end{aligned}$$

these calculation can be extended for $n \geq 3$.

Define $C_i(k)$ and $D_i(k)$ as

$$\begin{aligned} C_i(k) &= \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (|k_i| + C) \left(\sum_{j=1}^n (|k_j| + C)^2 \right)^{\frac{\beta-2}{2}}, \\ D_i(k) &= \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (|k_i| - C) \left(\sum_{j=1}^n (|k_j| - C)^2 \right)^{\frac{\beta-2}{2}}. \end{aligned}$$

Define the intervals F_i as the set of all $x_i \in \mathbb{R}$, such that,

$$D_i(k) - t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} < |x_i| < C_i(k) + t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2},$$

$G_{i,j}$ to be the set of all $x_i \in \mathbb{R}$ such that

$$C_i(k) + t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} + j - 1 < |x_i| \leq C_i(k) + t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} + j,$$

and $H_{i,j}$ to be the set of all $x_i \in \mathbb{R}$ such that

$$D_i(k) - t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} - j < |x_i| \leq D_i(k) + t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} - j + 1.$$

Since $|x| = \beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |\xi + k| \left| \langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\xi + k|^2 \right|^{\frac{\beta-2}{2}}$, then it follows that $x_i \in F_i$. It also follows that

$$\begin{aligned} \text{length}(F_i) &\leq t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2}, \text{ and} \\ \text{length}(G_{i,j}) &= \text{length}(H_{i,j}) = 1. \end{aligned}$$

Now define $K_{i,j} = G_{i,j} \cup H_{i,j}$, then it follows that $\chi_{F_i}(x_i) + \sum_{j=1}^{\infty} \chi_{K_{i,j}}(x_i) = 1$. Thus we have

$$\begin{aligned} \left\| \mathcal{F}^{-1}(\eta_k^\alpha(\zeta)e^{it(1+|\zeta|^2)^{\frac{\beta}{2}}}) \right\|_{L^1} &\leq \int_{\mathbb{R}^n} \prod_{i=1}^n \chi_{F_i}(x_i) \left| \int_{\mathbb{R}^n} \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) e^{it(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)+ix\zeta} d\zeta \right| dx \\ &+ \sum_{j^*=1}^n \sum_{I_l} \int_{\mathbb{R}^n} \mathcal{A}_{I_l}(x) \left| \int_{\mathbb{R}^n} \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) e^{it(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)+ix\zeta} d\zeta \right| dx \\ &+ \sum_{j_1=1}^n \cdots \sum_{j_n=1}^n \int_{\mathbb{R}^n} \prod_{i=1}^n \chi_{K_{i,j_i}}(x_i) \left| \int_{\mathbb{R}^n} \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) e^{it(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)+ix\zeta} d\zeta \right| dx \\ &= I_1 + I_2 + I_3, \end{aligned}$$

where \mathcal{A}_{I_l} is the product of characteristic functions $\chi_{F_i}(x_i)$ and $\chi_{K_{i,j^*}}(x_i)$ where there is at least one $\chi_{F_i}(x_i)$ and at least one $\chi_{K_{i,j^*}}(x_i)$.

For I_1 , with $\zeta \in \text{supp } \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))$ and Van der Corput Lemma, see proposition 2.6.4 in [10], we have

$$\begin{aligned} I_1 &\leq \left(t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} \right)^{-\frac{n}{2}} \int_{\mathbb{R}^n} \prod_{i=1}^n \chi_{F_i}(x_i) dx \leq \left(t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} \right)^{-\frac{n}{2}} \left(t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2} \right)^n \\ &= t^{\frac{n}{2}} \langle k \rangle^{\frac{n\beta\alpha}{2(1-\alpha)}} |k|^{\frac{n(\beta-2)}{2}} \leq t^{\frac{n}{2}} \langle k \rangle^{\frac{n(\beta-2+2\alpha)}{2(1-\alpha)}}. \end{aligned}$$

For additional details of Van der Corput lemma see [11,12].

Now note for $x \in K_{i,j}$ and $\zeta \in \text{supp } \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))$ we have

$$\begin{aligned} \frac{\partial}{\partial \zeta_l} \left(\frac{\eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))}{\frac{\partial}{\partial \zeta_i} \Phi} \right) &= \frac{\frac{\partial \Phi}{\partial \zeta_i} \frac{\partial}{\partial \zeta_l} \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) - \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) \frac{\partial^2 \Phi}{\partial \zeta_i \partial \zeta_l}}{\left(\frac{\partial \Phi}{\partial \zeta_i} \right)^2} \\ &\leq \frac{\beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |\zeta+k|^{\beta-2} (\zeta_i+k_i) + x_i - \frac{\partial^2 \Phi}{\partial \zeta_i \partial \zeta_l}}{\left(\beta t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} (\zeta_i+k_i) \left| \langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\zeta+k|^2 \right|^{\frac{\beta-2}{2}} + x_i \right)^2} \\ &= O \left(\frac{1}{j + \sqrt{t} \langle k \rangle^{\frac{\beta\alpha}{2(1-\alpha)}} |k|^{\frac{\beta-2}{2}}} + \frac{t \langle k \rangle^{\frac{\beta\alpha}{1-\alpha}} |k|^{\beta-2}}{\left(j + \sqrt{t} \langle k \rangle^{\frac{\beta\alpha}{2(1-\alpha)}} |k|^{\frac{\beta-2}{2}} \right)^2} \right). \end{aligned}$$

Thus, using integration-by-parts twice on each variable ζ_1, \dots, ζ_n we have

$$\begin{aligned} I_3 &\leq \sum_{j_1=1}^n \cdots \sum_{j_n=1}^n \frac{t^n \langle k \rangle^{\frac{n\beta\alpha}{1-\alpha}} |k|^{n\beta-2n}}{\prod_{i=1}^n \left(j_i + \sqrt{t} \langle k \rangle^{\frac{\beta\alpha}{2(1-\alpha)}} |k|^{\frac{\beta-2}{2}} \right)^2} \int_{\mathbb{R}^n} \prod_{i=1}^n \chi_{K_{i,j_i}}(x_i) dx \\ &\leq t^{\frac{n}{2}} \langle k \rangle^{\frac{n\beta\alpha}{2(1-\alpha)}} |k|^{\frac{n(\beta-2)}{2}} \\ &\leq t^{\frac{n}{2}} \langle k \rangle^{\frac{n(\beta-2+2\alpha)}{2(1-\alpha)}}. \end{aligned}$$

When $\zeta \in \text{supp } \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))$, then I_2 is the sum of integrals of the form

$$\sum_{j_{l+1}=1}^n \cdots \sum_{j_n=1}^n \int_{\mathbb{R}^n} \prod_{i_0=1}^l \chi_{F_{i_0}}(x_{i_0}) \prod_{i_0=l+1}^n \chi_{K_{i_0,j_{i_0}}}(x_{i_0}) \left| \int_{\mathbb{R}^n} \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) e^{it(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)+x\zeta} d\zeta \right| dx.$$

So doing integration-by-parts twice on the variables $\zeta_{l+1}, \dots, \zeta_n$, the above integral is bounded by

$$\begin{aligned} & \sum_{j_{l+1}=1}^n \dots \sum_{j_n=1}^n \frac{t^{n-l} \langle k \rangle^{\frac{(n-l)\beta\alpha}{1-\alpha}} |k|^{(n-l)\beta-2n}}{\prod_{i=l+1}^n \left(j_i + \sqrt{t} \langle k \rangle^{\frac{\beta\alpha}{2(1-\alpha)}} |k|^{\frac{\beta-2}{2}} \right)^2} \times \int_{\mathbb{R}^n} \prod_{i_0=1}^l \chi_{F_{i_0}}(x_{i_0}) \prod_{i_0=l+1}^n \chi_{K_{i_0, j_{i_0}}}(x_{i_0}) dx \\ & \leq t^{\frac{n}{2}} \langle k \rangle^{\frac{n\beta\alpha}{2(1-\alpha)}} |k|^{\frac{n(\beta-2)}{2}} \leq t^{\frac{n}{2}} \langle k \rangle^{\frac{n(\beta-2+2\alpha)}{2(1-\alpha)}}. \end{aligned}$$

This completes the proof. \square

4. Proof of Theorem 2

We now present the proof of theorem 2, which is proved through the following two propositions and lemma 1.

Proposition 3. For $1 \leq p, q \leq \infty, t \geq 1$ and $|k| = 0$, then the following estimate holds

$$\|\square_0^\alpha \Theta_K g\|_{L^p(\mathbb{R}^n)} \leq t^n \left| \frac{1}{p} - \frac{1}{2} \right| + 1 \|g\|_{L^p(\mathbb{R}^n)}.$$

Proof. Suppose $|k| = 0$. Let L be defined by the same as in proposition 1. then by Bernstein’s Lemma we have

$$\|\square_0^\alpha \Theta_K g\|_{L^1(\mathbb{R}^n)} \leq \left\| \eta_0^\alpha(\zeta) \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{(1 + |\zeta|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{1 - \frac{n}{2L}} \times \sum_{|\delta|=L} \left\| D^\delta \left(\eta_0^k(\zeta) \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{(1 + |\zeta|^2)^{\frac{1}{2}}} \right) \right\|_{L^2(\mathbb{R}^n)}^{\frac{n}{2L}} \|g\|_{L^1(\mathbb{R}^n)}.$$

For the first norm we have

$$\begin{aligned} \left\| \eta_0^\alpha(\zeta) \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{(1 + |\zeta|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{1 - \frac{n}{2L}} &= \left\| t \eta_0^\alpha(\zeta) \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{t(1 + |\zeta|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{1 - \frac{n}{2L}} \\ &\leq t^{1 - \frac{n}{2L}}. \end{aligned}$$

For the second norm, define $h(|\zeta|) = \frac{\sin((1+|\zeta|^2)^{\frac{1}{2}})}{(1+|\zeta|^2)^{\frac{1}{2}}}$. Note that h is a C^∞ function. Also, by a calculation we

have $\lim_{|\zeta| \rightarrow \infty} |D^\delta h(|\zeta|)| = 0$, for all multi-indices δ . Noticing that $th(t|\zeta|) = \frac{\sin(t(1+|\zeta|^2)^{\frac{1}{2}})}{(1+|\zeta|^2)^{\frac{1}{2}}}$, then when $|\delta| = L$ we have

$$\left| D^\delta \left(\frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{(1 + |\zeta|^2)^{\frac{1}{2}}} \right) \right| \leq t^{L+1} \sup_{\zeta \in \mathbb{R}^n} |D^\delta h(|\zeta|)| \leq t^{L+1}.$$

Now it follows that

$$\sum_{|\delta|=L} \left\| D^\delta \left(\eta_0^k(\zeta) \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{(1 + |\zeta|^2)^{\frac{1}{2}}} \right) \right\|_{L^2(\mathbb{R}^n)}^{\frac{n}{2L}} \leq (t^{L+1})^{\frac{n}{2L}} = t^{\frac{n}{2}} t^{\frac{n}{2L}}.$$

Therefore we have

$$\|\square_0^\alpha \Theta_K(t)g\|_{L^1(\mathbb{R}^n)} \leq t^{\frac{n}{2}} t^{\frac{n}{2L}} t^{1 - \frac{n}{2L}} \|g\|_{L^1(\mathbb{R}^n)} = t^{\frac{n+2}{2}} \|g\|_{L^1(\mathbb{R}^n)}.$$

By Plancherel’s Theorem it follows that

$$\|\square_0^\alpha \Theta_K(t)g\|_{L^2(\mathbb{R}^n)} \leq \left\| \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{(1 + |\zeta|^2)^{\frac{1}{2}}} \hat{g} \right\|_{L^2(\mathbb{R}^n)} = \left\| t \frac{\sin(t(1 + |\zeta|^2)^{\frac{1}{2}})}{t(1 + |\zeta|^2)^{\frac{1}{2}}} \hat{g} \right\|_{L^2(\mathbb{R}^n)} \leq t \|\hat{g}\|_{L^2(\mathbb{R}^n)} = t \|g\|_{L^2(\mathbb{R}^n)}.$$

Now by Riesz-Thorin Interpolation and a duality argument it follows that

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^p(\mathbb{R}^n)} \preceq t^{n|\frac{1}{p}-\frac{1}{2}|+1} \|g\|_{L^p(\mathbb{R}^n)}.$$

This completes the proof. \square

Proposition 4. For $1 \leq p, q \leq \infty, t \geq 1$, and $k \neq 0$, then the following estimate holds

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^p(\mathbb{R}^n)} \preceq t^{n|\frac{1}{p}-\frac{1}{2}|} \langle k \rangle^{\frac{\alpha n-2}{1-\alpha}|\frac{1}{p}-\frac{1}{2}|} \|g\|_{L^p(\mathbb{R}^n)}.$$

Proof. Suppose $|k| \neq 0$. Again define L to be defined as in the proof of theorem 1. Then by the usual substitutions and Bernstein’s lemma we have

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^1(\mathbb{R}^n)} \preceq \left\| \frac{\eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))}{(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{1-\frac{n}{2L}} \sum_{|\delta|=L} \left\| \frac{D^\delta \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) \sin(t(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}})}{(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{\frac{n}{2L}}.$$

For the first norm, noting that for large enough k we have

$(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\zeta+k|^2)^{-\frac{1}{2}} \preceq \langle k \rangle^{-1}$ it follows that

$$\begin{aligned} \left\| \frac{\eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))}{(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{1-\frac{n}{2L}} &= \langle k \rangle^{-\frac{\alpha}{1-\alpha} + \frac{n\alpha}{2L(1-\alpha)}} \times \left\| \frac{\eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k))}{(\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\zeta+k|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{1-\frac{n}{2L}} \\ &\preceq \langle k \rangle^{-\frac{\alpha}{1-\alpha} + \frac{n\alpha}{2L(1-\alpha)}} \langle k \rangle^{-1 + \frac{n}{2L}} \\ &= \langle k \rangle^{\frac{n-2L}{2L(1-\alpha)}}. \end{aligned}$$

For the second norm, $D^\delta \sin(t(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}})$ produces t^L and $\langle k \rangle^{\frac{L\alpha}{1-\alpha}}$ factors when $|\delta| = L$. Also, after taking multiple derivatives we have the remaining factors of the form $(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{-\frac{j}{2}}$ for some positive integer j which again for large enough k we have

$$\begin{aligned} (1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{-\frac{j}{2}} &\preceq \langle k \rangle^{-\frac{j\alpha}{1-\alpha}} (\langle k \rangle^{-\frac{2\alpha}{1-\alpha}} + |\zeta+k|^2)^{-\frac{j}{2}} \\ &\preceq \langle k \rangle^{-\frac{\alpha}{1-\alpha}} \langle k \rangle^{-1} = \langle k \rangle^{-\frac{1}{1-\alpha}}. \end{aligned}$$

Thus we have

$$\sum_{|\delta|=L} \left\| \frac{D^\delta \eta_k^\alpha(\langle k \rangle^{\frac{\alpha}{1-\alpha}}(\zeta+k)) \sin(t(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}})}{(1+\langle k \rangle^{\frac{2\alpha}{1-\alpha}}|\zeta+k|^2)^{\frac{1}{2}}} \right\|_{L^2(\mathbb{R}^n)}^{\frac{n}{2L}} \preceq t^{\frac{n}{2}} \langle k \rangle^{\frac{n\alpha}{2(1-\alpha)}} \langle k \rangle^{-\frac{n\alpha}{2L(1-\alpha)}} \langle k \rangle^{-\frac{n}{2L}} = t^{\frac{n}{2}} \langle k \rangle^{\frac{n\alpha L-n}{2L(1-\alpha)}}.$$

Then it follows that

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^1(\mathbb{R}^n)} \preceq t^{\frac{n}{2}} \langle k \rangle^{\frac{n-2L}{2L(1-\alpha)}} \langle k \rangle^{\frac{n\alpha L-n}{2L(1-\alpha)}} = t^{\frac{n}{2}} \langle k \rangle^{\frac{\alpha n-2}{2(1-\alpha)}}.$$

Using Plancherel’s theorem we have

$$\begin{aligned} \|\square_k^\alpha \Theta_K(t)g\|_{L^2(\mathbb{R}^n)} &= \left\| \eta_k^\alpha(\zeta) \frac{\sin(t(1+|\zeta|^2)^{\frac{1}{2}})}{(1+|\zeta|^2)^{\frac{1}{2}}} \hat{g} \right\|_{L^2(\mathbb{R}^n)} \\ &\preceq \|\hat{g}\|_{L^2(\mathbb{R}^n)} = \|g\|_{L^2(\mathbb{R}^n)}. \end{aligned}$$

By Riesz-Thorin and a duality argument it follows that for $1 \leq p \leq \infty$

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^p(\mathbb{R}^n)} \preceq t^{n|\frac{1}{p}-\frac{1}{2}|} \langle k \rangle^{\frac{\alpha n-2}{1-\alpha}|\frac{1}{p}-\frac{1}{2}|} \|g\|_{L^p(\mathbb{R}^n)},$$

and this finishes the proof. \square

Proof. To finish the proof of theorem 2 we first notice that by almost orthogonality we have

$$\begin{aligned} \square_k^\alpha \Theta_K(t)g &= \sum_{|l| \leq \gamma_{C,k}} \square_{k+l}^\alpha \square_k^\alpha \Theta_K(t)g \\ &= \sum_{|l| \leq \gamma_{C,k}} \square_{k+l}^\alpha \Theta_K(t) \square_k^\alpha g, \end{aligned}$$

where $\gamma_{C,k}$ is a constant dependent on C and k. Then by proposition 3 we have for small values of k

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^p(\mathbb{R}^n)} \preceq t^{n|\frac{1}{p}-\frac{1}{2}|+1} \|\square_k^\alpha g\|_{L^p(\mathbb{R}^n)},$$

and by proposition 4 we have for large values of k

$$\|\square_k^\alpha \Theta_K(t)g\|_{L^p(\mathbb{R}^n)} \preceq t^{n|\frac{1}{p}-\frac{1}{2}|} \langle k \rangle^{\frac{\alpha n-2}{1-\alpha}|\frac{1}{p}-\frac{1}{2}|} \|\square_k^\alpha g\|_{L^p(\mathbb{R}^n)}.$$

By definition of the α -Modulation norm and following the same argument as [1] and [3] we have

$$\|\Theta_K(t)g\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \preceq t^{n|\frac{1}{p}-\frac{1}{2}|+1} \|g\|_{M_{p,q}^{s-\gamma,\alpha}(\mathbb{R}^n)} + t^{n|\frac{1}{p}-\frac{1}{2}|} \|g\|_{M_{p,q}^{s+\beta_1(\alpha),\alpha}(\mathbb{R}^n)}.$$

This completes the proof. \square

5. Application to Klein-Gordon Type Equations

Corollary 1. Let $1 \leq p, q \leq \infty, t \geq 1$, and $u(t, x)$ be the solution to the Cauchy Problem for the Klein-Gordon Equation

$$\begin{cases} \partial_{tt}u(t, x) + u(t, x) - \Delta u(t, x) = 0, & \text{for } (t, x) \in \mathbb{R}_+ \times \mathbb{R}^n, \\ u(0, x) = f(x), & \text{for } x \in \mathbb{R}^n, \\ \partial_t u(0, x) = g(x), & \text{for } x \in \mathbb{R}^n, \end{cases}$$

then we have the followings estimate

$$\begin{aligned} \|u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} &\preceq t^{n|\frac{1}{p}-\frac{1}{2}|} \|f\|_{M_{p,q}^{s-\gamma_1,\alpha}(\mathbb{R}^n)} + t^{n|\frac{1}{p}-\frac{1}{2}|} \|f\|_{M_{p,q}^{s+v_1(1,\alpha),\alpha}(\mathbb{R}^n)} \\ &\quad + t^{n|\frac{1}{p}-\frac{1}{2}|+1} \|g\|_{M_{p,q}^{s-\gamma_2,\alpha}(\mathbb{R}^n)} + t^{n|\frac{1}{p}-\frac{1}{2}|} \|g\|_{M_{p,q}^{s+v_2(\alpha),\alpha}(\mathbb{R}^n)}, \end{aligned}$$

where γ_1 and γ_2 are positive real numbers, $v_1(1, \alpha)$ and $v_2(\alpha)$ are defined as in equation (1) and (2) respectively.

The formal solution to this equation is given by

$$u(t, x) = \cos\left(t(I - \Delta)^{\frac{1}{2}}\right) u_0(x) + \Theta_K(t)u_1(x),$$

where $\cos\left(t(I - \Delta)^{\frac{1}{2}}\right)$ is the Fourier multiplier with symbol $\cos\left(t(1 + |\xi|^2)^{\frac{1}{2}}\right)$. The Fourier multiplier $\cos\left(t(I - \Delta)^{\frac{1}{2}}\right)$, or equivalent $e^{it(I-\Delta)^{\frac{1}{2}}}$, estimate is given by theorem 1. Then with theorem 2 corollary 1 follows.

Now we close the paper with two applications: the (half) Klein-Gordon equation and the nonlinear Klein-Gordon equation. Define the function space

$$C\left([0, T], M_{p,q}^{s,\alpha}\right) = \left\{u(t, x) : \|u\|_{C([0,T],M_{p,q}^{s,\alpha})} < \infty\right\},$$

where $\|u\|_{C([0,T],M_{p,q}^{s,\alpha})} = \sup_{0 \leq t \leq T} \|u(t, \cdot)\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}$.

Theorem 4. Let $1 \leq p, q \leq \infty, s > s_0$ where s_0 is defined appropriately to make the α -modulation space a multiplication algebra [4], and $T \geq 1$. Suppose k is a positive integer and there is positive constant c_k dependent only on k such that

$$\|u_0\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \frac{c_k}{T^n \left| \frac{1}{p} - \frac{1}{2} \right| \left(1 + \frac{1}{2k}\right) T^{\frac{1}{2k}}}.$$

Suppose $1 \leq \beta \leq 2(1 - \alpha)$, then the nonlinear Klein-Gordon type equation of the form

$$\begin{cases} i\partial_t u - (I - \Delta)^{\frac{\beta}{2}} u + F(u) = 0, & \text{for } (t, x) \in \mathbb{R}_+ \times \mathbb{R}^n, \\ u(0, x) = u_0(x), & \text{for } x \in \mathbb{R}^n, \end{cases}$$

where $F(u) = |u|^{2k}u$ has a unique solution $u \in C([0, T], M_{p,q}^{s,\alpha})$.

Proof. Consider the mapping

$$\mathcal{T}_K u = e^{it(I-\Delta)^{\frac{\beta}{2}}} u_0 - \int_0^t e^{i(t-\tau)(I-\Delta)^{\frac{\beta}{2}}} F(u(\tau, \cdot)) d\tau.$$

Let C_j where $j = 1, 2, 3$ denote some positive constants that are independent of all essential variables. By Theorem 1 we have

$$\left\| e^{it(I-\Delta)^{\frac{\beta}{2}}} u_0 \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq C_1 (1+t)^n \left| \frac{1}{p} - \frac{1}{2} \right| \|u_0\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}.$$

Since the α -modulation space is a multiplication algebra when $s > s_0$ there is a constant $A_{2k+1} > 0$ such that

$$\left\| |u(t, \cdot)|^{2k+1} \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq A_{2k+1} \|u(t, \cdot)\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1}.$$

Let $M_k = \max \{A_{2k}, A_{2k+1}\}$. Now for any $T \geq 1$ and $t \leq T$

$$\begin{aligned} \left\| \int_0^t e^{i(t-\tau)(I-\Delta)^{\frac{\beta}{2}}} F(u(\tau, \cdot)) d\tau \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} &\leq C_1 \int_0^t (1+(t-\tau))^n \left| \frac{1}{p} - \frac{1}{2} \right| \left\| |u(\tau, \cdot)|^{2k} u \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} d\tau \\ &\leq C_2 M_k T^n \left| \frac{1}{p} - \frac{1}{2} \right|^{+1} \sup_{0 \leq t \leq T} \|u(t, \cdot)\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1}. \end{aligned}$$

Thus it follows that

$$\|\mathcal{T}_K u\|_{C([0,T],M_{p,q}^{s,\alpha})} \leq C_3 T^n \left| \frac{1}{p} - \frac{1}{2} \right| \left(\|u_0\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + T \sup_{0 \leq t \leq T} \|u(t, \cdot)\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right).$$

Let $\mathcal{L} = \frac{1}{\left(2C_3 T^n \left| \frac{1}{p} - \frac{1}{2} \right|^{+1}\right)^{\frac{1}{2k}} (2k+1)^{\frac{1}{2k}}}$, and let $B_{\mathcal{L}}$ be the closed ball of radius \mathcal{L} centered at the origin in the space of $C([0, T], M_{p,q}^{s,\alpha})$. Suppose that

$$\|u_0\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \frac{1}{(2k+1)^{\frac{1}{2k}} (2C_3)^{1+\frac{1}{2k}} T^n \left| \frac{1}{p} - \frac{1}{2} \right| \left(1 + \frac{1}{2k}\right) T^{\frac{1}{2k}}}.$$

Thus it follows that

$$\|\mathcal{T}_K u\|_{C([0,T],M_{p,q}^{s,\alpha})} \leq C_3 T^n \left| \frac{1}{p} - \frac{1}{2} \right| \left(\|u_0\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + T \mathcal{L}^{2k+1} \right) \leq \mathcal{L},$$

and so \mathcal{T}_K is a mapping from $B_{\mathcal{L}}$ into $B_{\mathcal{L}}$.

Then

$$\mathcal{F}_K u - \mathcal{F}_K v = e^{it(I-\Delta)^{\frac{\beta}{2}}}(u_0 - v_0) - \int_0^t e^{i(t-\tau)(I-\Delta)^{\frac{\beta}{2}}} (|u|^{2k}u - |v|^{2k}v) d\tau.$$

With the above and Lemma from [1] we have that

$$\begin{aligned} \|\mathcal{F}_K u - \mathcal{F}_K v\|_{C([0,T],M_{p,q}^{s,\alpha}(\mathbb{R}^n))} &\leq C_3 T^{n|\frac{1}{p}-\frac{1}{2}|+1} \left(\|u_0 - v_0\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + \sup_{0 \leq t \leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right) \\ &= C_3 T^{n|\frac{1}{p}-\frac{1}{2}|+1} \sup_{0 \leq t \leq T} \left(\|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right) \\ &\leq C_3 T^{n|\frac{1}{p}-\frac{1}{2}|+1} \sup_{0 \leq t \leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} (2k + 1) \mathcal{L}^{2k} \\ &\leq \frac{1}{2} \sup_{0 \leq t \leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} = \frac{1}{2} \|u - v\|_{C([0,T],M_{p,q}^{s,\alpha})}. \end{aligned}$$

This show that \mathcal{F}_K is a contraction map on $B_{\mathcal{L}}$. Thus, by the fixed point theorem we have a unique solution in $B_{\mathcal{L}}$. \square

Now we present the proof for Theorem 3

Proof. Let C_j where $j = 1, 2, 3, 4$ are all essential constants. Define the map \mathcal{F}_{KG} by

$$\mathcal{F}_{KG} u = \cos(t(I - \Delta)^{\frac{1}{2}})f_u(x) + \Theta_K(t)g_u(x) - \int_0^t \Theta_K(t - \tau)F(u(\tau, x))d\tau.$$

By the previous theorems and hypothesis we have

$$\left\| \cos(t(I - \Delta)^{\frac{1}{2}})f_u + \Theta_K(t)g_u \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq C_1 \left(t^{n|\frac{1}{p}-\frac{1}{2}|} \|f_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + t^{n|\frac{1}{p}-\frac{1}{2}|} (1+t) \|g_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \right).$$

Furthermore, we have

$$\begin{aligned} \left\| \int_0^t \Theta_K(t - \tau)F(u(\tau, x))d\tau \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} &\leq C_2 \int_0^T \left((1 + (t - \tau))^{n|\frac{1}{p}-\frac{1}{2}|} + (1 + (t - \tau))^{n|\frac{1}{p}-\frac{1}{2}|+1} \right) \\ &\quad \times \left\| |u|^{2k}u \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} d\tau \\ &\leq C_2 M_k \left(T^{n|\frac{1}{p}-\frac{1}{2}|+1} + T^{n|\frac{1}{p}-\frac{1}{2}|+2} \right) \left\| |u|^{2k}u \right\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \\ &\leq C_2 M_k T^{n|\frac{1}{p}-\frac{1}{2}|+1} (1+T) \sup_{0 \leq t \leq T} \|u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \\ &\leq C_3 M_k T^{n|\frac{1}{p}-\frac{1}{2}|+2} \sup_{0 \leq t \leq T} \|u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1}, \end{aligned}$$

Thus we have

$$\begin{aligned} \|\mathcal{F}_{KG} u\|_{C([0,T],M_{p,q}^{s,\alpha}(\mathbb{R}^n))} &\leq C_4 T^{n|\frac{1}{p}-\frac{1}{2}|} \|f_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + C_4 T^{n|\frac{1}{p}-\frac{1}{2}|+1} \|g_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \\ &\quad + C_4 T^{n|\frac{1}{p}-\frac{1}{2}|+2} \sup_{0 \leq t \leq T} \|u(t, \cdot)\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1}. \end{aligned}$$

Define $\mathcal{L}_{KG} = \frac{1}{(3C_4)^{\frac{1}{2k}}(2k+1)^{\frac{1}{2k}}\left(T^n|\frac{1}{p}-\frac{1}{2}|+2\right)^{\frac{1}{2k}}}$, and $B_{\mathcal{L}_{KG}}$ be an open ball centered at the origin in $C([0, T]M_{p,q}^{s,\alpha}(\mathbb{R}^n))$ with radius \mathcal{L}_{KG} . Suppose that the following estimates hold

$$\|f_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \frac{1}{(3C_4)^{1+\frac{1}{2k}}(2k+1)^{\frac{1}{2k}}T^n|\frac{1}{p}-\frac{1}{2}|(1+\frac{1}{2k})T^{\frac{1}{k}}},$$

and

$$\|g_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \frac{1}{(3C_4)^{1+\frac{1}{2k}}(2k+1)^{\frac{1}{2k}}T^n|\frac{1}{p}-\frac{1}{2}|(1+\frac{1}{2k})T^{\frac{1}{k}+1}}.$$

It follows that

$$\begin{aligned} \|\mathcal{T}_{KG}u\|_{C([0,T]M_{p,q}^{s,\alpha}(\mathbb{R}^n))} &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}| \left(\|f_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + T\|g_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + T^2 \sup_{0\leq t\leq T} \|u(t, \cdot)\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right) \\ &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}| \frac{3}{(3C_4)^{1+\frac{1}{2k}}(2k+1)^{\frac{1}{2k}}T^n|\frac{1}{p}-\frac{1}{2}|(1+\frac{1}{2k})T^{\frac{1}{k}}} = \frac{1}{(3C_4)^{\frac{1}{2k}}(2k+1)^{\frac{1}{2k}}\left(T^n|\frac{1}{p}-\frac{1}{2}|+2\right)^{\frac{1}{2k}}}. \end{aligned}$$

Therefore, $\mathcal{T}_{KG} : B_{\mathcal{L}_{KG}} \rightarrow B_{\mathcal{L}_{KG}}$. Furthermore, we have

$$\begin{aligned} \mathcal{T}_{KG}u - \mathcal{T}_{KG}v &= \cos(t(I - \Delta)^{\frac{1}{2}})(f_u(x) - f_v(x)) + \Theta_K(t)(g_u(x) - g_v(x)) \\ &\quad - \int_0^t \Theta_K(t - \tau)(F(u(\tau, x)) - F(v(\tau, x)))d\tau. \end{aligned}$$

Now using the hypothesis we have $\|g_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \leq \|f_u\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}$, we have

$$\begin{aligned} \|\mathcal{T}_{KG}u - \mathcal{T}_{KG}v\|_{C([0,T]M_{p,q}^{s,\alpha}(\mathbb{R}^n))} &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}| \left(\|f_u - f_v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + T\|g_u - g_v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \right. \\ &\quad \left. + T^2 \sup_{0\leq t\leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right) \\ &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}| \left((2+t)\|f_u - f_v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + T^2 \sup_{0\leq t\leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right) \\ &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}|+2 \sup_{0\leq t\leq T} \left(\|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} + \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)}^{2k+1} \right) \\ &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}|+2 \sup_{0\leq t\leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} (2k+1)\mathcal{L}_{KG}^{2k} \\ &\leq C_4T^n|\frac{1}{p}-\frac{1}{2}|+2 \frac{2k+1}{3C_3(2k+1)T^n|\frac{1}{p}-\frac{1}{2}|+2} \sup_{0\leq t\leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} \\ &\leq \frac{1}{3} \sup_{0\leq t\leq T} \|u - v\|_{M_{p,q}^{s,\alpha}(\mathbb{R}^n)} = \frac{1}{3} \|u - v\|_{C([0,T]M_{p,q}^{s,\alpha}(\mathbb{R}^n))}, \end{aligned}$$

therefore \mathcal{T}_{KG} is a contraction map and by the fixed point theorem there exists a unique solution $u \in C([0, T]M_{p,q}^{s,\alpha}(\mathbb{R}^n))$. This completes the proof. \square

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